

## CRIMINAL DOCKET

## HOUSTON DIVISION

No. 4:14-cr-00438

USAO Number: 2012R23802

Magistrate Number:

## CRIMINAL INFORMATION

United States Courts  
Southern District of Texas  
FILED

Filed

SEP 05 2014

Judge:

*Harmon*

UNITED STATES of AMERICA

vs.

David J. Bradley, Clerk of Court ATTORNEYS:

KENNETH MAGIDSON, USA

(713) 567-9000

Beinda Beek, AUSA

(713) 567-9000

Stephen B. Gray

|  | Appt'd                   | Private                  |
|--|--------------------------|--------------------------|
|  | <input type="checkbox"/> | <input type="checkbox"/> |

Ct. 1: Securities Fraud (Insider Trading) [15 U.S.C. § 78j(b), 78ff; 17 C.F.R. § 240.10b-5].

CHARGE:

(TOTAL)

(COUNTS:)

( 1 )

PENALTY: Ct. 1: Imprisonment up to 20 years and a fine up to \$5,000,000. S/R - 3 years. S/A - \$100.

NOTICE OF CRIMINAL FORFEITURE [28 U.S.C. § 2461(c); 18 U.S.C. § 981(a)(c)].

- In Jail
- On Bond
- No Arrest

NAME & ADDRESS  
of Surety:

## PROCEEDINGS:

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